

**London Borough of Barnet
Audit Committee Work
Programme - 2015-16**

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Subject	Decision requested	Report Of	Contributing Officer(s)
30 April 2015			
Internal Audit Exception Recommendations Report and Progress Report up to 31st March 2015 (Quarter 4)	<p>To consider summaries of specific Internal Audit reports as requested</p> <p>To consider a report from Internal Audit on agreed recommendations not implemented within a reasonable timescale</p> <p>To monitor the effective development and operation of risk management and corporate governance in the Council</p> <p>To consider reports dealing with the management and performance of the providers of Internal Audit services</p>	Head of Internal Audit	
Annual External Audit Plan	<p>To comment on the scope and depth of External Audit work and to ensure it gives value for money.</p> <p>To liaise with the body responsible over the appointment of the Council's external auditors.</p>	Chief Operating Officer (Director of Finance / Section 151 Officer)	
External Audit Progress Update	To consider specific reports as agreed with the external auditor	Chief Operating Officer (Director of Finance / Section 151 Officer)	

Subject	Decision requested	Report Of	Contributing Officer(s)
Internal Audit and CAFT Annual Plan and Strategy and Risk Management Approach and internal audit charter	<p>To consider the audit annual report, plan and opinion</p> <p>To consider the anti-fraud strategy, annual anti-fraud work plan and CAFT Annual Report.</p> <p>To monitor the effective development and operation of risk management and corporate governance in the Council</p>	Assurance Assistant Director, Head of Internal Audit	
CAFT Annual Report (including final quarter)	To consider the anti-fraud strategy, annual anti-fraud work plan and CAFT Annual Report	Assurance Assistant Director	
Audit Committee Annual Work Programme 2015/16	To approve the Audit Committee Annual Work Programme	Assurance Assistant Director	
30 July 2015			
Annual Report of the Audit Committee	The Audit Committee shall prepare a report to Full Council on annual basis on its activity and effectiveness.	Chair of the Audit Committee	
Risk Management Framework	To monitor the effective development and operation of risk management and corporate governance in the Council	Assurance Assistant Director	

Subject	Decision requested	Report Of	Contributing Officer(s)
Internal Audit Annual Report and opinion 2014/15	To consider the audit annual report, plan and opinion	Head of Internal Audit	
Internal Audit Quarterly Progress Report (including Exception Recommendations Report) – Quarter 1	<p>To consider summaries of specific Internal Audit reports as requested</p> <p>To consider a report from Internal Audit on agreed recommendations not implemented within a reasonable timescale</p> <p>To monitor the effective development and operation of risk management and corporate governance in the Council</p> <p>To consider reports dealing with the management and performance of the providers of Internal Audit services</p>	Head of Internal Audit	
CAFT Quarterly Report – Q1	<p>To monitor the effective development and operation of the Council's Corporate Anti-Fraud Team (CAFT)</p> <p>To consider regular anti-fraud progress reports and summaries of specific fraud issues and investigation outcomes</p>	Assurance Assistant Director	

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Audited Statement of Accounts	To review and approve the Annual Statement of Accounts. Specifically to consider whether appropriate accounting policies have been followed and whether there are any concerns arising from the financial statements or from the audit that need to be brought to the attention of the Council	Chief Operating Officer (Director of Finance / Section 151 Officer)	
External Auditor's Report under International Standard on Auditing (ISA) 260 for the year 2014/15	To consider the External Auditors report to those charged with governance on issues arising from the audit of the Council's accounts.	Chief Operating Officer (Director of Finance / Section 151 Officer)	
Annual Governance Statement	To oversee the production of the Authority's Annual Governance Statement and to recommend its adoption	Assurance Director (Acting)	
5 November 2015			

Subject	Decision requested	Report Of	Contributing Officer(s)
Internal Audit Quarterly Progress Report (including Exception Recommendations Report) – Quarter 2	<p>To consider summaries of specific Internal Audit reports as requested</p> <p>To consider a report from Internal Audit on agreed recommendations not implemented within a reasonable timescale</p> <p>To monitor the effective development and operation of risk management and corporate governance in the Council</p> <p>To consider reports dealing with the management and performance of the providers of Internal Audit services</p>	Head of Internal Audit	
Counter Fraud Framework Review	To monitor the Council's Counter Fraud framework and policies within it and recommend their application across the Council	Assurance Director (Acting)	
External Audit Progress Update	To consider specific reports as agreed with the external auditor	Assurance Director (Acting)	

28 January 2016

Subject	Decision requested	Report Of	Contributing Officer(s)
Internal Audit Quarterly Progress Report (including Exception Recommendations Report) – Quarter 3	<p>To consider summaries of specific Internal Audit reports as requested</p> <p>To consider a report from Internal Audit on agreed recommendations not implemented within a reasonable timescale</p> <p>To monitor the effective development and operation of risk management and corporate governance in the Council</p> <p>To consider reports dealing with the management and performance of the providers of Internal Audit services</p>	Head of Internal Audit	
CAFT Quarterly Report – Quarter 2	<p>To monitor the effective development and operation of the Council's Corporate Anti-Fraud Team (CAFT)</p> <p>To consider regular anti-fraud progress reports and summaries of specific fraud issues and investigation outcomes</p>	Assurance Director (Acting)	
Annual Audit Letter 2014-15	To consider the External Auditor's Annual Letter, relevant reports, and the report to those charged with governance	Chief Operating Officer (Director of Finance / Section 151 Officer)	

Subject	Decision requested	Report Of	Contributing Officer(s)
External Audit Progress Update	To consider specific reports as agreed with the external auditor	Assurance Director (Acting)	
19 April 2016			
CAFT Annual Report (including final quarter)		Assurance Assistant Director	
Annual External Audit Plan		Assurance Director (Acting)	
Internal Audit Quarterly Progress Report (including Exception Recommendations Report)		Head of Internal Audit	
Internal Audit and CAFT Annual Plan and Strategy and Risk Management Approach		Head of Internal Audit	
Items to be allocated			

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Ad Hoc Audit Reports	<p>To commission work from Internal and External Audit, proportionate to risk identified and with agreement from the Chief Executive</p> <p>To review any issue referred to the Committee by the Chief Executive, a Director or any Council body</p>		